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**Blatt 2 der Bescheinigung  
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Page 2 de l'attestation**

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PROCESS FOR THE PREPARATION OF AN ENANTIOMERICALLY ENRICHED SCHIFF BASE

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PROCESS FOR THE PREPARATION OF AN ENANTIOMERICALLY  
ENRICHED SCHIFF BASE

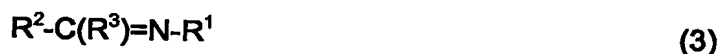
The invention relates to a process for the preparation of an enantiomerically enriched Schiff base wherein an amine with formula 1



is contacted with a carbonyl compound, with formula 2



wherein the amine and/or the carbonyl compound is a chiral compound, to form a mixture of the enantiomers (or diastereomers where appropriate) of the corresponding Schiff base with formula 3



wherein, if the amine is the chiral compound  $\text{R}^1$  represents a chiral group chosen from an alkyl, (hetero)aryl, alkoxy, (hetero)aryloxy, (di)alkylamino, acylamino or (hetero)arylamino group,  $\text{R}^2$  represents an (hetero)aryl group and  $\text{R}^3$  represents H, if the carbonyl compound is the chiral compound  $\text{R}^2$  and  $\text{R}^3$  each independently represent H, an alkyl or (hetero)aryl group with the proviso that the carbonyl compound is chiral, and  $\text{R}^1$  represents an (hetero)aryl group or an (hetero)aryl substituted C2-C10 alkyl group wherein the (hetero)aryl substituent is not in the  $\alpha$ -position relative to the imine-N, and if both the amine and the carbonyl compound are chiral compounds,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  in combination may have the same meanings as given above for both the chiral amine and the chiral carbonyl compound situation, and the mixture of enantiomers of the Schiff base is subjected to preparative chromatography on a stationary phase whereby separation of the enantiomers of the Schiff base is obtained. The enantiomerically enriched Schiff bases obtained may subsequently be hydrolyzed to give, in case the amine is the chiral

compound to be resolved, the corresponding enantiomerically enriched amine, or, in case the carbonyl compound is the chiral compound to be resolved, the enantiomerically enriched carbonyl compound.

5 The separation of alkanol amines using liquid chromatography via derivatization, particularly via derivatization into an oxazolidine, is described in SE-8501132-8. The separation of the enantiomers using this process proved to be rather bad.

Surprisingly it has been found that the process according to the invention can be advantageously used for the resolution of chiral amines as well as chiral carbonyl compounds, based on the common inventive concept that the resolution of the  
10 corresponding Schiff bases using preparative chromatography leads to a much better separation of the enantiomers than the separation obtained in the known process. This is the more surprising as it was to be expected that Schiff bases are more sensitive to racemisation.

In a preferred embodiment of the invention the undesired enantiomer of  
15 the Schiff base is subjected to racemisation. Subsequently the mixture of the enantiomers of the Schiff base obtained is subjected to the preparative chromatographic step according to the invention.

The Schiff base to be subjected to preparative chromatography may be a mixture of cis and trans isomers. Preferably the preparation of the Schiff base is performed  
20 such that preferentially one isomer (either cis or trans) is obtained. Most preferably the excess of such isomer with respect to the other is as high as possible.

The term "chiral compound" refers to compounds with either a chiral carbon atom, or a configurationally stable chiral heteroatom. Compounds where chirality is caused by restricted rotation or is due to the overall threedimensional shape, e.g. a helical  
25 shape, and suitable substituted adamantanes are also termed "chiral compounds".

The term "chiral center" refers to any structural feature of a molecule that gives rise to different enantiomers.

The term "alkyl" refers to an optionally substituted alkyl group with for instance 1-25, in particular 1-10 C-atoms, for example optionally asymmetrically  
30 substituted methyl, ethyl, propyl, isopropyl, butyl and octyl groups. Suitable substituents are for instance, halogens, hydroxy, C1-C6 alkenyl, C1-C6 alkynyl, C1-C6 alkoxy, thio, C1-C6 alkylthio, amino, C1-C6 alkylamino, C1-C6 acyloxy, C1-C6 acylthio, C1-C6 acylamino, nitro, cyano, carboxy, C1-C6 alkoxyacyl, acyl, (C1-C6 alkyl substituted) amino acyl, C3-C20 (hetero)aryl groups.

The term "aryl" refers to an optionally substituted aromatic hydrocarbon group, for instance a phenyl or naphthyl group with for example 5-25 C-atoms. Suitable substituent(s) are, for instance, alkyl groups, for instance C1-C6 alkyl, and the substituents described above in relation to alkyl groups.

5           The term "heteroaryl" refers to optionally substituted aromatic ring systems with for instance 3-20 C-atoms, for instance aromatic ring systems having in the ring(s) 3-10 C-atoms and at least one heteroatom, in particular O, N or S, for example furyl, thienyl, pyridinyl, indolyl and quinolyl. The ring(s) may be substituted, for instance with substituents mentioned above in relation to aryl groups.

10           The term "alkoxy" refers to an optionally substituted straight chain or branched chain alkoxy group with, for instance 1-25, in particular 1-10 C-atoms, in particular methoxy, ethoxy, propoxy, isopropoxy, butoxy, *tert*-butoxy and pentoxy. The alkoxy group may be substituted, for instance with substituents mentioned above for aryl groups.

15           Preferably the chiral center in the Schiff base is located at the  $\alpha$ - or  $\beta$ -position relative to the imine-N (in R<sup>1</sup>, R<sup>2</sup> and/or R<sup>3</sup>), most preferably at the  $\alpha$ -position. The groups R<sup>1</sup>, R<sup>2</sup> and/or R<sup>3</sup> may contain functional groups that are inert in the imine forming and/or removal reaction or that are protected by suitable protecting groups.

20           In the resolution of chiral amines via Schiff base formation according to the invention a broad range of (non chiral) aldehydes can be used. Preferably a benzaldehyde with 0-5 substituents is used as the aldehyde. Suitable substituents are for example halogens, hydroxy, C1-C6 alkyl, C1-C6 alkoxy groups. Preferably easily accessible benzaldehydes with a good performance in the process of the invention are used, for example a benzaldehyde with 0, 1 or 2 substituents.

25           In the resolution of chiral amines preferably a non chiral aldehyde is used. If a mixture of the enantiomers of the aldehyde is used as a starting material 4 stereoisomers are formed. Therefore, if the aldehyde is chiral, the aldehyde is preferably used in enantiomerically pure form, for instance with an ee > 95%, preferably > 98%, more preferably > 99%. It will be clear, however, that if the racemic amine and carbonyl  
30           compound both are very cheap, it may also be cost effective to use both the amine and the aldehyde in racemic (or unresolved) form as starting materials in the process of the present invention.

By choosing a specific aldehyde in combination with the amine (to be resolved), it appeared possible to find Schiff bases with good solubility in the mixture to be

separated. This good solubility contributes to a high production capacity which leads to a commercially attractive process.

In the resolution of carbonyl compounds via Schiff base formation according to the invention a broad range of (non chiral) amines  $\text{NH}_2\text{R}^1$ , wherein  $\text{R}^1$  represents an (hetero)aryl group or an (hetero)aryl substituted C2-C10 alkyl group, can be used, provided that the (hetero)aryl substituent is not in the  $\alpha$ -position relative to the imine-N. Enantiomerically enriched carbonyl compounds that can be prepared with the process according to the invention are chiral carbonyl compounds with formula 2, wherein  $\text{R}^2$  and  $\text{R}^3$  each independently represent H, an alkyl group with for instance 1-20 C-atoms, an (hetero)aryl group with for instance 3-25 C-atoms. The process of the present invention is particularly suited for the resolution of aldehydes, the carbonyl compounds of formula 2 with  $\text{R}^2$  or  $\text{R}^3$  is H.

In the resolution of chiral carbonyl compounds preferably a non chiral amine is used. If a mixture of the enantiomers of the amine is used as a starting material stereoisomers are formed. Therefore, if the amine is chiral, the amine is preferably used in enantiomerically pure form, for instance with an ee > 95%, preferably > 98%, more preferably > 99%. It will be clear, however, that if the racemic amine and carbonyl compound both are very cheap, it may also be cost effective to use both the amine and the carbonyl compound in racemic (or unresolved) form as starting materials in the process of the present invention.

By choosing a specific amine in combination with the carbonyl compound (to be resolved), it appeared possible to find Schiff bases with good solubility in the mixture to be separated. This good solubility contributes to a high production capacity which leads to a commercially attractive process.

The process for the preparation of an enantiomerically enriched Schiff base according to the invention is carried out by preparative chromatography on a chiral stationary phase.

The term "preparative chromatographic separation" relates to methods of separating mixtures of enantiomers or diastereomers which are dissolved in the mobile phase, of sufficient scale to isolate relevant quantities of the enantiomer or diastereomer desired. Such methods are known in the art. A suitable method for preparative chromatographic separation is, for instance, adsorption chromatography, e.g. column chromatography. Particularly preferred separation methods are those known as HPLC (high performance liquid chromatography), SFC (supercritical fluid chromatography), both

in batch mode and in continuous mode, e.g. SMB (simulated moving bed chromatography). In the separation of enantiomers these methods involve the use of a chiral stationary phase. In case only 2 diastereomers need to be separated, of course, also an achiral stationary phase may be used.

5                   As is well known by the skilled person the term "stationary phase" relates to a suitable inert carrier material on which an interacting agent is immobilized. The term "chiral stationary phase" relates to stationary phases in which the interacting agent is an enantiomerically enriched resolving agent, for instance immobilized by coating, by chemically binding or by insolubilizing via cross-linking on an inert carrier material. A  
10                   suitable inert carrier material is preferably macroporous, e.g. crosslinked polystyrene, polyacrylamide, polyacrylate, alumina, kieselgur, quartz, kaolin, magnesium oxide or titanium dioxide. Silicagel is particularly preferred. Examples of stationary phases containing an enantiomerically enriched resolving agent are, for instance, phases based on either synthetic or naturally occurring chiral polymers, macrocyclic phases, ligand-  
15                   exchange phases and Pirkle-type phases. Such chiral stationary phases are known and commercially available. Particularly preferred are polysaccharide phases, for instance Chiralcel OD®, Chiralcel OJ®, Chiralpak AD® and Chiralpak AS® (all Daicel).

                  The term "mobile phase" relates to a solvent or mixture of solvents in which the mixture of enantiomers to be separated is dissolved. Suitable solvents to be  
20                   used in the preparative chromatographic process according to the invention are the solvents that are known to be used in analytical chromatography. In liquid chromatography as a rule non-polar, polar protic or aprotic solvents, or mixtures thereof are used. In supercritical chromatography preferably mixtures of carbon dioxide and polar protic solvents are used.

25                   Suitable non polar solvents are for example hydrocarbons, for instance n-pentane, n-hexane and n-heptane.

                  Suitable polar protic or aprotic solvents are for example alcohols, in particular methanol, ethanol, 1-propanol, 2-propanol, 1-butanol, 2-butanol, isobutanol, tert butanol; ethers; esters, for instance ethylacetate; halogenated hydrocarbons and  
30                   acetonitrile. The addition of small amounts of water, acid (for instance formic acid, acetic acid, trifluoroacetic acid) or base (for instance organic bases, e.g. triethylamine) for example less than 1% (v/v) in the solvent may have advantageous effects.

                  In liquid chromatography, it is preferred to use lower, for instance C1-C3, alcohols or mixtures of these alcohols with hydrocarbons, for instance n-hexane or n-

heptane. In supercritical chromatography mixtures of carbon dioxide and polar protic solvents, e.g. methanol, are preferred. The optimal solvent (combination) can be screened using methods known in the art. A different optimal solvent (combination) may be found when another stationary phase is used.

5                   It appeared that the solubility of the Schiff base as a rule was higher than the parent compound, leading to higher production capacities. The process of the present invention, therefore can be performed at relatively high concentrations of the Schiff base in the mixture to be resolved, for instance at concentrations between 0.5-10% (w/v) of Schiff  
10                   base in the mixture to be resolved. As a result it appeared possible to obtain a commercially attractive process for resolving chiral Schiff bases, chiral amines and chiral carbonyl compounds.

                  The present invention will now be described in detail with reference to the following examples that by no means limit the scope of the invention.

15    Materials used and definitions.

                  The carrier material of the HPLC columns (5 x 0.46 cm I.D. and 25 x 0.46 cm I.D.) consists of silicagel, granular size 10 µm, coated with amylose tris (3,5-dimethylphenylcarbamate) (CHIRALPAK AD®), amylose tris ((S)-α-methylbenzylcarbamate (CHIRALPAK AS®), cellulose tris (3,5-dimethylphenylcarbamate (CHIRALCEL OD®) and  
20                   cellulose tris (4-methylbenzoate) (CHIRALCEL OJ®).

                  A Gilson 302 HPLC pump was used for solvent delivery and a Rheodyne 7010 valve for injection. Detection of the column effluent was carried out with an UV detector, Spectrasystem UV2000

                  The definitions of the terms used in the examples are as follows:

25

$$\text{Capacity factor (k}_n\text{)} = \frac{(\text{retention volume of peak number } n) - (\text{dead volume})}{(\text{dead volume})}$$



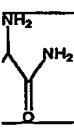



$$\text{Separation factor } (\alpha) = \frac{(\text{Capacity factor of more strongly retained isomer})}{(\text{Capacity factor of less strongly retained isomer})}$$



**Example 1**

5 Schiff base derivatives of chiral amines and benzaldehyde were chromatographed on a stationary phase of CHIRALPAK<sup>®</sup> AD, CHIRALCEL<sup>®</sup> OD, CHIRALCEL<sup>®</sup> OJ and CHIRALPAK<sup>®</sup> AS using 5 x 0.46 cm I.D. columns at room temperature, at a flow-rate of 1 ml/min, utilizing a mixture of n-hexane and isopropanol (IPA) as the mobile phase. The percentage (v/v) of IPA used in the mobile phase is given in Table 1. Separation of the enantiomers was measured by UV absorption. The results are represented in Table 1.

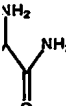
Separation of the enantiomers of Schiff base derivatives of chiral primary amines and benzaldehyde

Schiff base derivative of	Chiralpak AD			Chiralcel OD			Chiralcel OJ			Chiralpak AS		
	K <sub>1</sub>	$\alpha$	IPA %(v/v)	k <sub>1</sub>	$\alpha$	IPA %(v/v)	k <sub>1</sub>	$\alpha$	IPA %(v/v)	k <sub>1</sub>	$\alpha$	IPA %(v/v)
	2.62	1.13	0.5	> 7	—	5	2.98	1.71	20	0.58	1	20
	1.30	1.60	20	5.02	1.33	20	2.32	1.35	20	4.16	1	100
H	0.90	1.60	10	2.88	2.15	10	2.00	1.10	5	1.28	2.14	10
	2.06	2.23	5	3.12	1.18	44	0.52	3.04	44	3.46	1	44
	1.48	1.50	36	5.44	1.17	36	2.78	1.56	36	> 7	—	100
	2.54	1.39	10	4.66	1.43	20	2.70	1.89	60	3.20	1	40
 erythro	1.58	1.15	44	1.04	1.42	44	1.08	1.13	80	1.36	1	44
	1.42	1.20	0.1	0.86	1.95	5	1.78	1.26	44	1.00	1.58	0.1
	0.38	1	1	1.88	1.20	5	0.76	1	1.0	0.56	1	1.0

**Example 2**

5      Schiff base derivatives of chiral amines and several ring-substituted benzaldehydes were chromatographed on a stationary phase of CHIRALPAK<sup>®</sup> AD using a 25 x 0.46 cm I.D. column at room temperature, at a flow-rate of 1 ml/min, utilizing a mixture of n-hexane and isopropanol (IPA; vol-% IPA in the mobile phase as indicated in the table) as the mobile phase. Separation of the enantiomers was measured by UV absorption. The results are represented in Table 2.

Separation of the enantiomers of Schiff base derivatives of chiral primary amines and several ring-substituted  
aldehydes (B)

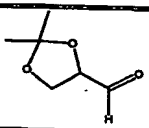
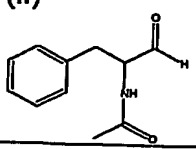
	4-methoxy-B			4-methyl-B			3,4-dimethoxy-B			4-chloro-B			3-nitro-B			2-hydroxy-B	
	k <sub>1</sub>	α	IPA	k <sub>1</sub>	α	IPA	k <sub>1</sub>	α	IPA	k <sub>1</sub>	α	IPA	k <sub>1</sub>	α	IPA	k <sub>1</sub>	α
			% (v/v)			% (v/v)			% (v/v)			% (v/v)			% (v/v)		
	5.48	1.24	1.0	3.72	1.19	1.0	> 11	—	1.0	4.60	1.11	1.0	> 7	—	1.0	10.28	1.02
	2.09	1.68	10	1.35	1.38	10	5.95	1.73	10	2.74	1.51	10	8.05	1.35	10	6.15	1.08
	1.89	1.61	10	1.12	1.55	10	3.70	1.39	10	1.29	1.71	10	3.43	1	10	2.03	1.55
	2.89	2.02	10	1.35	1.98	10	4.28	2.32	10	1.56	2.36	10	4.72	1.86	10	2.58	1.90
	1.30	1.58	44	2.82	1.50	14	1.76	1.51	44	1.22	1.46	44	2.32	1.28	44	1.48	1.32
	2.56	1.42	20	1.60	1.38	20	> 11	—	20	1.88	1.40	20	4.59	1.44	20	2.56	1.69
erythro	1.80	1.12	44	—	—	—	—	—	—	1.57	1.14	44	2.76	1.21	44	2.04	1.17
	4.75	1	0.1	2.08	1.26	0.1	> 11	—	0.1	2.14	1.27	0.1	> 11	—	0.1	5.87	1
	—	—	—	0.54	1	1.0	3.63	1.03	1.0	0.52	1	1.0	1.18	1	1.0	0.82	1

### Example 3

Schiff base derivatives of chiral aldehydes and amines were chromatographed on a stationary phase of CHIRALPAK<sup>®</sup> AD and CHIRALCEL<sup>®</sup> OD using 5 x 0.46 cm I.D. columns at room temperature, at a flow-rate of 1 ml/min, utilizing a mixture of n-hexane and isopropanol (IPA; vol-% IPA in the mobile phase as indicated in the table) as the mobile phase. Separation of the enantiomers was measured by UV absorption. For chiral aldehyde (I), 2-phenylethylamine was used for Schiff base formation. For chiral aldehyde (II), *p*-anisidine was used for Schiff base formation. The results are represented in Table 3.

**Table 3**

Separation of the enantiomers of Schiff base derivatives of chiral aldehydes and amines

Schiff base <sup>1</sup> derivative of	Chiralcel OD			Chiralpak AD		
	k <sub>1</sub>	α	IPA %(v/v)	k <sub>1</sub>	α	IPA %(v/v)
(I) 	1.45	1.27	3			
(II) 				4.66	1.23	10

**Example 4**

Determination of productivity of a SMB process for the benzaldehyde Schiff base of 2-amino-2-*tert*.butylacetamide (dl-*tert*. leucine amide)

For the benzaldehyde Schiff base of 2-amino-2-*tert*.butylacetamide, the  
5 adsorption isotherms of the enantiomers have been determined using a perturbation method (as described by C. Heuer, E.Küsters, T.Plattner and A.Seidel-Morgenstern, J.Chromatogr.A.,vol.827 (1998) pp.175-191). The column used was a 5 x 0.46 cm I.D. Chiralpak AD from Daicel. 2-Propanol was used as mobile phase at a flow-rate of 1.0 ml/min. Injection volume was 20 µl. Residence times of both enantiomers were measured  
10 at 14 concentration levels (between 4 and 46 g racemate /l). The experiment has been performed at room temperature.

Several types of adsorption isotherms have been examined for the description of the data. Best fit was found for the modified Langmuir isotherm. Using the parameters for the modified Langmuir isotherm, the TMB/SMB operation region was calculated according to  
15 the equilibrium theory. The feed concentration was fixed at 46 g/l. The performance of various TMB and SMB configurations with a set of flow-rates was simulated using an in-house developed Aspen Custom Modeler model (TMB) and Aspen Chromatography (SMB).

For a six column configuration, the production rate is 1 kg (2-amino-2-*tert*.butylacetamide) enantiomer per kg stationary phase per day.  
20

CLAIMS

1. Process for the preparation of an enantiomerically enriched Schiff base wherein  
5 an amine with formula 1



is contacted with a carbonyl compound, with formula 2



wherein the amine and/or the carbonyl compound is a chiral compound, to form a mixture of the enantiomers or diastereomers of the corresponding Schiff base with formula 3



wherein, if the amine is the chiral compound  $\text{R}^1$  represents a chiral group chosen from an alkyl, (hetero)aryl, alkoxy, (hetero)aryloxy, (di)alkylamino, acylamino or  
20 (hetero)arylamino group,  $\text{R}^2$  represents an (hetero)aryl group and  $\text{R}^3$  represents H, if the carbonyl compound is the chiral compound  $\text{R}^2$  and  $\text{R}^3$  each independently represent H, an alkyl, or (hetero)aryl, group with the proviso that the carbonyl compound is chiral and  $\text{R}^1$  represents an (hetero)aryl group or an (hetero)aryl substituted C2-C10 alkyl group wherein the (hetero)aryl substituent is not in the  $\alpha$ -  
25 position relative to the imine-N, and if both the amine and the carbonyl compound are chiral compounds,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  in combination may have the same meanings as given above for both the chiral amine and the chiral carbonyl compound situation, and the mixture of enantiomers of the Schiff base is subjected to preparative chromatography on a stationary phase whereby  
30 separation of the enantiomers of the Schiff base is obtained.

2. Process according to claim 1, wherein a mixture of diastereomers of the Schiff base is subjected to preparative chromatography.
3. Process according to claims 1 or 2, wherein a chiral stationary phase is used.

4. Process according to any one of claims 1 - 3, wherein the preparative chromatography used is Simulated Moving Bed chromatography.
5. Process according to any one of claims 1-4, wherein the chiral center in the Schiff base is at the  $\alpha$ - or  $\beta$ -position relative to the imine-N, most preferably at the  $\alpha$ -position.
6. Process according to any one of claims 1-5, wherein the amine is the chiral compound and the carbonyl compound is achiral.
7. Process according to any one of claims 1-6, wherein the amine is chiral and which process further comprises hydrolyzing the enantiomerically enriched Schiff base to form the corresponding enantiomerically enriched amine.
8. Process according to claim 6 or 7, wherein the carbonyl compound is a benzaldehyde.
9. Process according to any one of claims 1-5, wherein the carbonyl compound is the chiral compound.
10. Process according to claim 10, wherein the amine is achiral.
11. Process according to claim 9 or 10, which process further comprises hydrolyzing the enantiomerically enriched Schiff base to form the corresponding enantiomerically enriched carbonyl compound.
12. Process according to claim 9, wherein the carbonyl compound is an aldehyde.
13. Process according to any one of claims 1-12, wherein the concentration of Schiff base in the mixture to be resolved is between 0.5 and 10 % by (w/v).
14. Process according to any one of claims 1-13, wherein preparative liquid chromatography is used and wherein the mixture of the enantiomers of the Schiff base is dissolved in an alcohol, a hydrocarbon or any mixture thereof.
15. Process according to any one of claims 1-13, wherein preparative super- critical chromatography is used and wherein the mixture of enantiomers of the Schiff base is dissolved in a mixture of carbon dioxide and a polar protic solvent.
16. Process according to any one of claims 1-15, wherein the undesired enantiomer of the Schiff base is subjected to racemisation and subsequently the mixture of enantiomers obtained is recycled to the preparative chromatographic step.



**ABSTRACT**

5        **Process for the preparation of an enantiomerically enriched Schiff base**  
wherein an amine is contacted with a carbonyl compound wherein the amine and/or the  
carbonyl compound is a chiral compound, to form a mixture of the enantiomers of the  
corresponding Schiff base wherein, if the amine is the chiral compound the carbonyl  
compound is an aromatic aldehyde; if the carbonyl compound is the chiral compound the  
amine is an aromatic amine and if both the amine and the carbonyl compound are chiral  
10        compounds, they in combination may have the same meanings as given above for both  
the chiral amine and the chiral carbonyl compound situation, and the mixture of  
enantiomers of the Schiff base is subjected to preparative chromatography on a stationary  
phase whereby separation of the enantiomers of the Schiff base is obtained. Preferably  
chiral Simulated Moving Bed chromatography is used.

PCT Application  
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